



NYSHealth  
Center for Excellence  
in Integrated Care

*to foster the implementation of integrated approaches for New York State residents with co-occurring mental health and substance use conditions*

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## CEIC in the News

**Stanley Sacks, PhD**  
Director

**JoAnn Y Sacks, PhD**  
Assistant Director

**John Challis, BA, BSW, DipTeach**  
Director of Technical Assistance

### CEIC

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## NEWS10, WTEN, Albany

### \$3.2 Million Grant To Set Up Mental Health Center

This was a brief article, describing the grant funding to transform the way care is delivered to those with mental health and substance use conditions. The goal is to integrate services for the 1.4 million New Yorkers who have mental health and substance use problems. The article includes a quote from Commissioner Karen Carpenter-Palumbo, of the New York State Office of Alcoholism & Substance Abuse Services (OASAS), which pointed out that the approach is “person-centered” and will result in appropriate care being available for those with the dual conditions, either at a substance abuse clinic or a mental health clinic.

**Link:** <http://www.wten.com/Global/story.asp?s=9647804>

**Date:** posted Friday, January 9, 2009 04:16 PM

**Author:** none

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## WAMC New York News (Northeast Public Radio)

### NY Will Have First Co-Current Disorder Care Center in the Nation

WAMC had an article about the change from separated services to an integration of services for mental health and substance use disorders to produce more effective care for those with the co-occurring conditions. The article noted the regulatory and fiscal barriers that have impeded integration of services in the past; changes are expected now that CEIC has been established — the first Center in the nation for the treatment of persons with both mental health and substance use [co-occurring] disorders. Coverage included an on-air interview (audio available).

**Link:** article/lede and audio is at

[http://www.publicbroadcasting.net/wamc/news.newsmain?action=article&ARTICLE\\_ID=1455907](http://www.publicbroadcasting.net/wamc/news.newsmain?action=article&ARTICLE_ID=1455907)

audio only is at

[http://www.publicbroadcasting.net/wamc/news.mediaplayer?STATION\\_NAME=wamc&MEDIA\\_ID=814378&MEDIA\\_EXTENSION=mp3&MODULE=news&ext=.mp3](http://www.publicbroadcasting.net/wamc/news.mediaplayer?STATION_NAME=wamc&MEDIA_ID=814378&MEDIA_EXTENSION=mp3&MODULE=news&ext=.mp3)

**Date:** Albany, NY, Friday, January 9, 2009

**Author:** Dave Lucas

**Credit:** © Copyright 2009, WAMC Northeast Public Radio

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## Gannett — Rochester Democrat & Chronicle (Albany Bureau)

### Center offers dual care for mental health, drug abuse

The *Democrat & Chronicle* had a lengthy article about the New York State Health Foundation's grant award that created CEIC, operated by National Development & Research Institutes, Inc. The article noted that CEIC will be offering training and technical assistance to the 1,223 mental health and substance abuse outpatient clinics that are licensed by the State in this effort to integrate services for those with both mental and substance use conditions. The article quoted the two Commissioners most involved with CEIC's goals; Karen Carpenter-Palumbo, of the New York State Office of Alcoholism & Substance Abuse Services (OASAS), and Michael Hogan, of the New York State Office of Mental Health (OMH). The Commissioners pointed out the regulatory changes that will be needed and various barriers to integrated care that need to be circumvented to enable clinics in either system (mental health or substance abuse) to be fully functional and compensated for the delivery of integrated care. It was pointed out that

80% of the State's Medicaid costs are for the treatment of chronic conditions, which includes co-occurring disorders. James Knickman, President and CEO of the New York State Health Foundation was quoted as stating that, of the 1.4 million New Yorkers affected with the co-occurring problems, only 10% receive treatment of proven effectiveness, while fully half receive no care whatsoever. Mathew Mathai, Deputy Director of the New York Association of Psychiatric Rehabilitation Services (a consumer advocacy organization and one of CEIC's partners), was also quoted in the article. Mr. Mathai spoke of his personal struggle with bi-polar disorder and substance abuse and the difficulty of finding treatment. Finally, the article cited the preliminary work of the New York State Task Force on Co-occurring Disorders, which is responsible for a set of recommendations that became the foundation of the current initiative to integrate care.

**Link:** no longer active

**Date:** Albany, NY; Monday, January 12, 2009

**Author:** Cara Matthews, Albany Bureau CLMATTHE@Gannett.com

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## Times Union (Albany, NY)

### State system works to treat whole person

Goal is to serve patients with both mental illness and an addiction

Staff writer Cathleen Crowley reported from Albany on the creation of CEIC in response to current circumstances that require those seeking treatment for both substance use and mental health problems to access treatment services at two clinics, a substance abuse clinic and a mental health clinic. The report describes the grant award from the New York Health Foundation to National Development & Research Institutes, Inc. that funds CEIC for 4 years to advance the integration of care for those with the co-occurring mental and substance use conditions. The State — through its Offices of Mental Health (OMH) and of Alcohol and Substance Abuse Services (OASAS) — will be making changes in existing regulations that will help clinics and counselors to treat patients with both problems; the New York Health Foundation — through CEIC — will provide training and education to. The Commissioners of both OMH (Michael Hogan) and OASAS (Karen Carpenter-Palumbo) were quoted, along with James Knickman, President and CEO of the New York Health Foundation, citing the goal of “looking at the whole person” and of helping those who deliver services to be more effective.

**Link:** <http://timesunion.com/AspStories/storyprint.asp?StoryID=758166>

**Date:** Albany, NY; Friday, January 9, 2009

**Author:** Cathleen F. Crowley can be reached at 454-5348 or [ccrowley@timeunion.com](mailto:ccrowley@timeunion.com)

**Credit:** All Times Union materials copyright 1996-2009, Capital Newspapers Division of The Hearst Corporation, Albany, NY

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## pressconnects.com (Greater Binghamton)

### State restructures its mental health treatment approach

This article, written by Cara Matthews, who also wrote the article appearing in the *Democrat & Chronicle*. She describes the New York State Health Foundation's grant award that created CEIC, operated by National Development & Research Institutes, Inc., which is designed to facilitate the integration of treatment for people with mental health and substance use problems. At present, those seeking treatment for both conditions typically have to visit two clinics, one for each problem; the State's goal is to ensure that all mental health and substance abuse outpatient clinics will be capable of treating both conditions. The article noted that CEIC will be offering training and

technical assistance to the 1,223 mental health and substance abuse outpatient clinics that are licensed by the State in this effort to integrate services for those with the co-occurring conditions. James Knickman, President and CEO of the New York State Health Foundation, was quoted as stating that the coordination and integration of care for the dual problems are non-existent today.

The consequences of not treating co-occurring disorders are higher risk of serious medical conditions, violence, homelessness, suicide, early death and other problems. Those hospitalized for mental illness who also have substance use disorders are five times more likely to be rehospitalized than those who do not have substance use disorders. The loss in productivity for those with co-occurring disorders is \$100 billion annually.

The article quoted the two Commissioners most involved with CEIC's goals; Karen Carpenter-Palumbo, of the New York State Office of Alcoholism & Substance Abuse Services (OASAS), and Michael Hogan, of the New York State Office of Mental Health (OMH). The Commissioners pointed out the regulatory changes that will be needed and various barriers to integrated care that need to be circumvented to enable clinics in either system (mental health or substance abuse) to be fully functional and compensated for the delivery of integrated care. Mr. Hogan stated that coordinated (or integrated) care is more effective than sequential or parallel treatment approaches. It was pointed out that 80% of the State's Medicaid costs are for the treatment of chronic conditions, which includes co-occurring disorders.

Mathew Mathai, Deputy Director of the New York Association of Psychiatric Rehabilitation Services (a consumer advocacy organization and one of CEIC's partners), was also quoted in the article. Mr. Mathai spoke of his personal struggle with bi-polar disorder and substance abuse and the difficulty of finding treatment. The article closed with quotes from Dr. JoAnn Y. Sacks, the Executive Director of National Development & Research Institutes, Inc., who described CEIC's focus on recovery, cultural sensitivity, and the use of practices known to be effective.

**Link:** no longer active

**Date:** Albany, NY; Friday, January 9, 2009

**Author:** Cara Matthews, Albany Bureau

**Credit:** Copyright © 2009 Binghamton Press & Sun-Bulletin

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## Newsday (AP New York)

### NY reforms treatment of addiction, mental illness

This article begins by describing the lack of coordinated and integrated care for New Yorkers with co-occurring substance use and mental conditions, and the combined effort of the New York State Office of Alcoholism & Substance Abuse Services (OASAS), and the Office of Mental Health (OMH) to see that those affected by both conditions receive simultaneous treatment. Both Commissioner Hogan (OMH) and Commissioner Carpenter-Palumbo (OASAS) were quoted, and the creation of CEIC with \$3.2 million in funding from the New York State Health Foundation was described. The article cited the consequences of the dual disorders when untreated, most notably, depression and, in some cases, suicide. Changes in the system, through revised regulations, and changes in reimbursements will help to integrate services. CEIC will contribute by helping outpatient clinics to screen, assess, and treat clients with co-occurring conditions

**Link:** no longer active

**Date:** Albany, NY; Sunday, January 11, 2009

**Author:** Valerie Bauman, Associated Press Writer

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## Web Postings

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### **New York State Health Foundation (NYSHealth)**

#### **NYSHealth Leads Effort to Transform System of Care for 1.4 Million New Yorkers with Co-occurring Mental Health & Substance Use Disorders**

##### **\$3.2 Million Grant will Establish First-of-Its-Kind Center of Excellence to Transform Delivery of Care at Clinics across New York; Effort Seen as Key to Stemming Skyrocketing Health Care Costs Associated with Co-Occurring Conditions**

On January 9, the New York State Health Foundation (NYSHealth) announced the award of a milestone grant to fund the first statewide Center for Excellence in Integrated Care (CEIC) to transform the system of care for 1.4 million New Yorkers suffering from both mental health and substance use conditions. The \$3.2 million grant, awarded to **National Development and Research Institutes, Inc. (NDRI)**, will directly benefit patients and work to address the enormous costs in lost productivity, re-hospitalization, and related health care costs that are burdening the State's health care system.

At a press conference in the Legislative Office Building, top officials from the New York State Office of Mental Health (OMH) and the New York State Office of Alcoholism and Substance Abuse Services (OASAS) joined NYSHealth to announce the grant and to introduce the new Center and its director, Dr. Stanley Sacks of NDRI. The Foundation, in keeping with its mission to improve the health of New York State residents, has identified co-occurring mental health and substance use conditions as a major health problem at a time when OMH and OASAS are striving to eliminate the barriers to mental health and substance use treatment services. NYSHealth's initiative aims to achieve the integration of mental health and substance use services throughout all phases of the recovery process for patients at all of New York's 1,223 licensed mental health and substance abuse outpatient treatment centers.

"The poor outcomes associated with substance use and mental health conditions are unnecessary, especially with the existing advances in research and practice," said **NYSHealth President and CEO James R. Knickman**. "Access to an integrated system at all levels of care, across all agencies, and throughout all phases of the recovery process should be the expectation of all New Yorkers, not the exception. This initiative, and the reduction of in-patient care dollars that will result from it, will make significant strides toward improving patient care, while simultaneously helping the State's bottom line during these challenging fiscal times."

Today, more than 50% of New Yorkers suffering from either a mental health or substance use condition do not receive treatment. Less than 10% of the population with co-occurring conditions receives evidence-based treatment for both conditions. Mental health inpatients with substance use disorders are five times more likely to be re-hospitalized compared to those without substance use disorders. The Center of Excellence also will help save precious Medicaid dollars. According to a recent report, "Medicaid in 2007: Recent Research and Implications for Reform," "high-need" patients, (i.e., those with physical, mental, and substance use conditions) account for roughly 70–80% of all Medicaid expenditures. The Center for Excellence will make significant strides toward addressing patient needs while simultaneously helping to abate Medicaid costs in New York.

"Many people with mental health conditions have substance use problems, and vice versa. Effective treatment requires us to deal with the whole person, and to address both challenges. However, less than 10% of persons with co-occurring conditions receive treatment for both conditions. The New York State Offices of Mental Health and Alcoholism and Substance Abuse Services are determined to make integrated treatment the norm and not the exception wherever people need integrated care," said **OMH Commissioner Michael F. Hogan, Ph.D.** "The statewide Center of Excellence will be a great asset to New York's mental health and substance abuse treatment

providers as we work toward that goal. We appreciate the leadership of the New York State Health Foundation in working with us to increase dual recovery.”

“The facts here are plain: co-occurring mental health and substance use conditions are a major cause of long-term disability and homelessness, cost the State more than \$100 billion every year in lost productivity, and lead to an increased cost of care due to high inpatient use,” said **OASAS Commissioner Karen M. Carpenter-Palumbo**. “We must integrate mental health and substance use services in order to provide the gold standard of care to New Yorkers, and the creation of this Center of Excellence to adapt standard screening, assessment, and treatment services represents an important step in that direction.”

“We are extremely pleased that New York State is taking steps to provide integrated care for people suffering from mental health and substance use conditions, as have 38 other states that we have worked with, and we are proud to be a part of it,” said Dr. Stanley Sacks of NDRI, who will direct the NYSHealth Center for Excellence in Integrated Care. “Working with NYSHealth, and building on the commitment of OMH and OASAS, the Center has a unique opportunity to transform the care patients receive in a cost-efficient manner. We look forward to helping provider agencies and their counselors optimize the lives of their clients with co-occurring conditions through the delivery of improved and integrated services.”

**Link:** <http://www.nyshealthfoundation.org/content/article/detail/1466/>

**Date:** Albany, NY; Friday, January 9, 2009

**Author:** staff

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OMH Commissioner Michael Hogan, OASAS Commissioner Karen Carpenter-Palumbo, NYSHealth President and CEO James R. Knickman



NDRI Executive Director JoAnn Sacks and NYHealth President & CEO James R. Knickman



Commissioner Carpenter-Palumbo and New York Association of Psychiatric Rehabilitation Services Deputy Director Matthew Mathai



Commissioner Hogan, Matthew Mathai, and JoAnn Sacks

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## Join Together (Boston University School of Public Health) New York Trains Counselors to Treat Dual Diagnosis

### News Summary

New York's Medicaid program has long hindered comprehensive treatment for people with co-occurring addiction and mental-health problems, but now the state is training more counselors to provide services to dually diagnosed patients, the Associated Press reported Jan. 11.

The state's Office of Alcohol and Substance Abuse Services and the Office of Mental Health are working together to address a problem that affects 90 percent of individuals with both addiction and mental illness. Medicaid won't pay for both types of treatment simultaneously, so a \$3.2-million grant from the [sic] National Development and Research Institutes\* is being used to train addiction counselors to address mental illness, and vice-versa.

"It is finally taking a person-centered approach to care, and saying emphatically, 'If you need treatment for a dual diagnosis, you will get it,'" said Karen Carpenter-Palumbo, commissioner of the state Office of Alcohol and Substance Abuse Services.

New York's addiction and mental-health screening, assessment, rehabilitation, discharge and aftercare programs also are being overhauled. Services will be integrated at 1,223 licensed addiction and mental-health outpatient programs statewide.

*\*This article incorrectly cites National Development and Research Institutes as the funder; instead, the grant was from the New York State Health Foundation (NYSHHealth) and awarded to National Development and Research Institutes. The error was made in the original AP article, and a correction was published.*

**Link:** <http://www.jointogether.org/news/headlines/inthenews/2009/new-york-trains-counselors-to.html>

**Date:** Wednesday, January 14, 2009

**Author:**

**Credit:** All content copyright 2009 *Join Together* Boston University School of Public Health

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### GrantWatch

<http://content.healthaffairs.org/cgi/content/full/28/3/912/DC1>

*Health Affairs*, 28, no. 3 (2009): 912-915. doi: 10.1377/hlthaff.28.3.912

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### Mental Health

#### Recent grant

**National Development and Research Institutes, Inc. (NDRI), New York, NY.** This grant funds a statewide Center for Excellence in Integrated Care, which aims "to transform the system of care for 1.4 million New Yorkers suffering from both mental health and substance use conditions," according to a New York State Health Foundation (NYSHHealth) press release. The foundation cited a statistic that "less than 10 %" of New York State's population "with co-occurring conditions receives evidence-based treatment for both conditions." The center will both "directly benefit patients and work to address the enormous costs in lost productivity, re-hospitalization, and related health

care costs that are burdening the State's health care system." Stanley Sacks of NDRI, a psychologist, has been named the center's director. The center's "primary goal is to increase the capacity of New York State's addiction and mental health outpatient clinics (more than 1,200 statewide) to provide integrated clinical care for people with co-occurring conditions," according to an [online background](#), which provides more detailed information on the center. In response to a question from *Health Affairs*, foundation staffer Kavita Das explained that NYSHealth is the center's sole funder. Although the New York State Office of Mental Health (OMH) and the state's Office of Alcoholism and Substance Abuse Services "are not providing funding" for the center, she said, the foundation "decided to address this priority area because of a commitment by these two agencies to address the regulatory and reimbursement barriers to integrated mental health and substance use services." Sacks reported to the journal that the new center has "hit the ground running." Its early activities include launching an "introductory Web site" and conducting surveys, he said in May 2009. Michael Hogan, commissioner of the New York State OMH, was at the January 2009 event, when this grant was awarded. (See his [Perspective](#) on mental health crises and public policy in the May/June 2009 issue of *Health Affairs*.) *\$3.2 million over four years. Funded by the New York State Health Foundation.*

**EDITOR'S NOTE: Some parts of the GrantWatch section are published on the Web only.** The online-only material frequently includes selected coverage of both recently announced funding and publications funded by or about foundations. (Reporting of selected grant outcomes and key personnel changes at foundations, as well as GrantWatch essays, reports, and interviews, are usually published in both print and online form.) Online GrantWatch material is posted twice a month.